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333 EARLE OVERTON BOULEVARD, SUITE 701  
UNIONDALE, NEW YORK 11553

TELEPHONE: (516) 228-3565

FACSIMILE: (516) 228-8475

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**FACSIMILE TRANSMISSION**

February 16, 2007

TO: Examiner Tung Q. Tran  
Fax No.: (571) 273-9737

FROM: Paul J. Farrell, Reg. No. 33,494, Attorney for Applicants

PAGES: 12 (including this page)

OUR REF: 678-1065 (P10572)

U.S. APPLICATION SERIAL NO. : 10/353,586

**COMMENTS:**

Dear Examiner Tung Q. Tran,

Thank you for your immediate response to our inquiry. As such, kindly find attached hereto a copy of the Third Preliminary Amendment being submitted in the above identified application.

Should the Examiner believe that a telephone conference or personal interview would facilitate resolution of any remaining matters, you may contact me at the number given below.

Sincerely,

Paul J. Farrell

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FEB 20 2007

Practitioner's Docket No. 2004DE304

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Stefan Brand et al.

Application No.: 10/591,623

Group No.:

Filed: September 1, 2006

For: Coating for metal surfaces, method for the production thereof and use thereof  
as a self-cleaning protective layer, particularly for the rims of automobilesCommissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\*  
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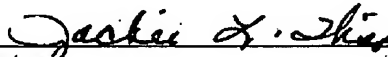
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requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

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Applicant Stefan Brand et al.:

Serial No. 10/591,623

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO/SB/08A.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☐ PCT Search Report
  - 1d. ☒ Copy of Co-pending U.S. Application.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

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Applicant Stefan Brand et al.:

Serial No. 10/591,623

Page 3

7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached PTO/SB/08A Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.

Respectfully submitted,



Anthony A. Bisulca

Registration No. 40,913

**CUSTOMER NO. 25,255**

Clariant Corporation  
Industrial Property Department  
4000 Monroe Road  
Charlotte, North Carolina 28205  
Telephone: 704/331-7151  
Facsimile: 704/331-7707

FEB 20 2007

PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for Form 1449/PTO		<b>Complete if Known</b>	
		Application Number	10/591,623
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Filing Date	September 1, 2006
		First Named Inventor	Brand et al.
		Art Unit	
		Examiner Name	
Sheet	1	of	1
		Attorney Docket Number	2004DE304

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	U.S. Patent Application Serial No. 10/591,573; by Brand et al., filed 9/1/2006	<input type="checkbox"/>
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	CC		<input type="checkbox"/>
	CD		<input type="checkbox"/>
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, MA 22313-1450.

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